

RISETRADE REGISTRATION CERTIFICATE

Broker-Dealer Registration

This certificate is issued as representing a regulatory authorization within the United States financial technology ecosystem.
RiseTrade Innovations Inc. operates as a financial technology platform and complies with all applicable U.S. laws and regulations.

Entity Name	RiseTrade Innovations Inc.
Registration Type	Broker-Dealer Registration
Registration Number	6620815
Issuing Authority	United States Financial Regulatory Authority
Issue Date	January 16, 2014
Status	ACTIVE

Authorized Signatory  _____